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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
12816-042001**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**U.S. APPLICATION NO. (If Known, see 37 CFR  
1.5)**10/048192**INTERNATIONAL APPLICATION NO.  
PCT/DE00/02555INTERNATIONAL FILING DATE  
27 July 2000PRIORITY DATE CLAIMED  
27 July 1999

TITLE OF INVENTION

METHOD FOR PRODUCING A SEMICONDUCTOR MEMORY ELEMENT

APPLICANT(S) FOR DO/EO/US

Volker Weinrich and Manfred Engelhardt

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern other documents or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - ☒ International Search Report
  - ☒ International Preliminary Examination Report
  - ☐
  - ☐
  - ☐

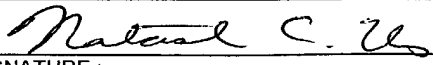
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|   |              |  |   |  |    |
|---|--------------|--|---|--|----|
| U.S. APPLICATION NO. (IF KNOWN)<br><b>10/048192</b>   |              | INTERNATIONAL APPLICATION NO<br>PCT/DE00/02555 |   | ATTORNEY'S DOCKET NUMBER<br>12816-042001 |    |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><br><b>Basic National Fee ( 37 CFR 1.492(a)(1)- (5) ):</b><br><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO..... <b>\$1040</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890</b><br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but<br>international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740</b><br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710</b><br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100</b><br><br><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> |              |  |   | <b>CALCULATIONS</b> PTO USE<br>ONLY      |    |
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| Surcharge of <b>\$130</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |   | \$0.00                                   |    |
| Claims  | Number Filed | Number Extra                                   | Rate  |  |    |
| Total Claims  | 20 - 20 =    |  | x <b>\$18</b>   | \$0.00                                   |    |
| Independent Claims  | 3 - 3 =      |  | x <b>\$84</b>   | \$0.00                                   |    |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)  |              |  | + <b>\$280</b>  | \$0.00                                   |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |   | \$890.00                                 |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are<br>reduced by 1/2.   |              |  |   | \$0.00                                   |    |
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| <b>TOTAL NATIONAL FEE =</b>   |              |  |   | \$890.00                                 |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +  |              |  |   | \$0.00                                   |    |
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| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive<br/>         (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b>  |              |  |   |  |    |
| SEND ALL CORRESPONDENCE TO:   |              |  |   |  |    |
| Natasha C. Us<br>FISH & RICHARDSON P.C.<br>225 Franklin Street<br>Boston, Massachusetts 02110-2804<br>(617) 542-5070 phone<br>(617) 542-8906 facsimile  |              |  | <br>SIGNATURE : |  |    |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Engelhardt, M., et al.                      Art Unit : Unknown  
Serial No. : To Be Assigned                              Examiner : Unknown  
Filed : Herewith  
Title : METHOD FOR PRODUCING A SEMICONDUCTOR MEMORY ELEMENT

Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as follows:

In the claims:

Amend claims 1-6 as follows:

1. (Once amended) A method for fabricating a contact hole for a semiconductor memory component, having a silicon substrate, an intermediate dielectric layer arranged on said substrate, and an upper layer arranged on said intermediate dielectric layer, the method comprising:  
forming a perforated mask on the upper layer, the mask including a material which exhibits temperature stability during a later deposition process;  
etching the upper layer and a depression into the intermediate dielectric layer as far as a residual thickness using the perforated mask;  
depositing a layer including O<sub>3</sub>/TEOS-SiO<sub>2</sub> onto a structure thus obtained including the perforated mask;  
removing the layer including O<sub>3</sub>/TEOS-SiO<sub>2</sub> from a bottom of the depression by etching;  
and

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Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 2

Attorney's Docket No.: 12816-042001

thereupon lowering the depression by etching in order to produce the contact hole as far as an interface with the silicon substrate, the silicon substrate being uncovered, the layer including  $O_3/TEOS-SiO_2$  serving as a lateral seal of the upper layer during the lowering of the depression.

2. (Once amended) The method as claimed in claim 1, wherein forming the perforated mask comprises forming a perforated mask including polyimide.

3. (Once amended) The method as claimed in claim 1, wherein forming the perforated mask comprises forming a perforated mask including photoimide.

4. (Once amended) The method as claimed in claim 1, wherein, after the uncovering of the silicon substrate at the bottom of the contact hole, the silicon substrate being spared, a second layer including  $O_3/TEOS-SiO_2$  is deposited into the contact hole and onto a top surface proximate the contact hole.

5. (Once amended) The method as claimed in claim 4, wherein the perforated mask material is stripped prior to deposition of the second layer including  $O_3/TEOS-SiO_2$ .

6. (Once amended) The method as claimed in claim 1, further comprising:  
selecting a material for the upper layer from the group consisting of a ferroelectric material and a material having a high dielectric constant.

Please add new claims 7 – 20.

--7. (New) The method of claim 6, wherein selecting the material includes selecting a material from the group consisting of strontium bismuth tantalate, PZT, and barium strontium titanate.

8. (New) A method for fabricating a contact hole for a semiconductor component, the method comprising:

Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 3

Attorney's Docket No.: 12816-042001

providing a silicon substrate including an intermediate dielectric layer formed thereon;  
forming a resist mask over the intermediate dielectric layer, the resist mask defining an opening;

etching the intermediate dielectric layer through the resist mask opening to form a depression having sidewalls, leaving a residual thickness of the intermediate dielectric layer at a bottom portion of the depression;

depositing a layer including  $O_3$ /TEOS-SiO<sub>2</sub> over the intermediate dielectric layer and along the sidewalls of the depression;

etching the layer including  $O_3$ /TEOS-SiO<sub>2</sub> from the bottom of the depression; and

further etching the intermediate dielectric layer to extend the depression further down to an interface between the intermediate dielectric layer and the silicon substrate until a top surface of the silicon substrate is uncovered.

9. (New) The method of claim 8, wherein providing a silicon substrate includes providing a silicon substrate having an upper layer disposed over the intermediate dielectric layer, the method further comprising:

prior to etching the intermediate dielectric layer, forming the resist mask on the upper layer; and

etching a portion of the upper layer through the resist mask opening.

10. (New) The method of claim 9, wherein providing a silicon substrate comprises providing a silicon substrate having an upper layer including a ferroelectric material.

11. (New) The method of claim 10, wherein providing a silicon substrate comprises providing a silicon substrate having an upper layer including strontium bismuth tantalate.

12. (New) The method of claim 9, wherein providing a silicon substrate comprises providing a silicon substrate having an upper layer including a material with a high dielectric constant.

Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 4

Attorney's Docket No.: 12816-042001

13. (New) The method of claim 12, wherein providing a silicon substrate comprises providing a silicon substrate having an upper layer including barium strontium titanate.

14. (New) The method of claim 13, further comprising:  
after etching the intermediate dielectric to extend the depression until the top surface of silicon is uncovered, depositing a second layer having  $O_3/TEOS-SiO_2$  into the depression and onto a top surface proximate to the depression.

15. (New) The method of claim 14, further comprising:  
stripping the resist mask before depositing the second layer.

16. (New) A method for forming a contact hole, the method comprising:  
applying a mask over an intermediate layer formed over a substrate, the mask defining an opening;  
forming a depression in the intermediate layer through the opening, the depression having sidewalls and a bottom portion;  
forming a protective layer on the sidewalls of the depression; and  
further deepening the depression.

17. (New) The method of claim 16, further comprising selecting the protective layer to include an oxide.

18. (New) The method of claim 17, further comprising selecting the protective layer to include silicon dioxide.

19. (New) The method of claim 16, wherein forming a depression comprises etching the intermediate layer.

20. (New) The method of claim 16, further comprising selecting the intermediate layer to include a dielectric material.--

Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 5

Attorney's Docket No.: 12816-042001

In the abstract:

Replace the abstract with the following version.

A method for fabricating a contact hole for a semiconductor memory element. The memory element includes a silicon substrate, an intermediate dielectric layer on the substrate, and an upper layer on the intermediate dielectric layer. The method includes forming a perforated mask on the upper layer, the mask including a material that exhibits temperature stability. The upper layer and a depression are etched into the intermediate dielectric layer as far as a residual thickness using the perforated mask. A layer including  $O_3/TEOS-SiO_2$  is deposited onto a structure thus obtained. The layer including  $O_3/TEOS-SiO_2$  is removed from a bottom of the depression by etching. The depression is lowered by etching to produce the contact hole as far as an interface with the silicon substrate, the silicon substrate being uncovered, and the layer including  $O_3/TEOS-SiO_2$  serving as a lateral seal of the upper layer during the lowering of the depression.

Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 6

Attorney's Docket No.: 12816-042001

REMARKS

Claims 1-6 have been amended to conform to U.S. practice and to eliminate multiple dependent claims. New claims 7-20 have been added.

Now pending in this application are claims 1-7, 8-15, and 16-20. Of these, claims 1, 8, and 16 are independent.

Attached is a marked-up version of the changes being made by the current amendment.

Applicant asks that all claims be examined. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Jan. 25, 2002

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Applicant : Engelhardt, M., et al.  
 Serial No. : To Be Assigned  
 Filed : Herewith  
 Page : 7

Attorney's Docket No.: 12816-042001

**Version with markings to show changes made**

In the claims:

Claims 1-6 have been amended as follows:

1. (Once amended) A method for fabricating a contact hole for a semiconductor memory component, [in particular a DRAM or an FRAM], having a silicon substrate, an intermediate dielectric layer [(1)] arranged on said substrate, and an upper layer [(3)] made of a ferroelectric material or made of a material having a high dielectric constant being] arranged on said intermediate dielectric layer, [having the steps of] the method comprising:

f[F]orming a perforated mask on the upper layer [(3)], the mask including a material which exhibits temperature stability during a later deposition process [being used for the perforated mask];

e[E]tching the upper layer [(3)] and a depression [(8')] into the intermediate dielectric layer [(1)] as far as a residual thickness [(d<sub>0</sub>)] using the perforated mask;

d[D]epositing a layer [made of] including O<sub>3</sub>/TEOS-SiO<sub>2</sub> onto [the] a structure thus obtained including the perforated mask [in the later deposition process];

r[R]emoving the layer [made of] including O<sub>3</sub>/TEOS-SiO<sub>2</sub> from [the] a bottom of the depression [(8')] by etching; and

t[T]hereupon lowering the depression [(8')] by etching in order to produce the contact hole as far as [the] an interface with the silicon substrate, the [latter] silicon substrate being uncovered, the layer [made of] including O<sub>3</sub>/TEOS-SiO<sub>2</sub> serving as a lateral seal of the upper layer [(3)] during the [etching process] lowering of the depression.

2. (Once amended) The method as claimed in claim 1, wherein [polyimide is used as the material for] forming the perforated mask comprises forming a perforated mask including polyimide.

Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 8

Attorney's Docket No.: 12816-042001

3. (Once amended) The method as claimed in claim 1, wherein [photoimide is used as the material for] forming the perforated mask comprises forming a perforated mask including photoimide.

4. (Once amended) The method as claimed in claim 1, [2 or 3, ] wherein, after the uncovering of the silicon substrate [in the region of] at the bottom of the contact hole, the [latter] silicon substrate being spared, a second layer [made of] including O<sub>3</sub>/TEOS-SiO<sub>2</sub> is [again] deposited [onto this structure] into the contact hole and onto a top surface proximate the contact hole.

5. (Once amended) The method as claimed in claim 4, wherein the perforated mask material is stripped prior to [renewed] deposition of the second layer including O<sub>3</sub>/TEOS-SiO<sub>2</sub>.

6. (Once amended) The method as claimed in claim 1, [one of the preceding claims, wherein a layer made of] further comprising:

selecting a material for the upper layer from the group consisting of a ferroelectric material [ , in particular SBT or PZT, or made of a] and a material having a high dielectric constant[, in particular BST, is used as the upper layer (3)].

In the abstract:

[The invention relates to a method for producing a semiconductor memory element, in particular a DRAM or FRAM. Said memory element comprises a silicon substrate, an intermediate oxide layer (1) applied to the latter, upon which an upper layer (3) consisting of a ferroelectric material or a material with higher dielectric constants is provided. A contact cavity (8) which extends up to the border between the silicon substrate and the upper layer is etched, from the starting point of an opening (5) in a cavity mask which has been configured in a previous stage. A material resistant to high temperatures is used for the cavity mask. Such a material must withstand high temperatures so that the subsequent deposition of O<sub>3</sub>-TEOS-SiO<sub>2</sub> onto this layer (for example polyimide) can take place, without causing any degradation of said layer. The cavity mask is used for etching into the intermediate oxide layer (1), causing the

Applicant : Engelhardt, M., et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
Page : 9

Attorney's Docket No.: 12816-042001

formation of a recess (8'). A layer consisting of  $O_3$ -TEOS- $SiO_2$  is then deposited onto the resultant structure. In order to create the contact cavity, the  $O_3$ -TEOS- $SiO_2$  layer is removed from the base of the recess (8') by etching and said recess (8') is then sunk to the border with the silicon substrate by etching, thus exposing the substrate.]

A method for fabricating a contact hole for a semiconductor memory element. The memory element includes a silicon substrate, an intermediate dielectric layer on the substrate, and an upper layer on the intermediate dielectric layer. The method includes forming a perforated mask on the upper layer, the mask including a material that exhibits temperature stability. The upper layer and a depression are etched into the intermediate dielectric layer as far as a residual thickness using the perforated mask. A layer including  $O_3$ /TEOS- $SiO_2$  is deposited onto a structure thus obtained. The layer including  $O_3$ /TEOS- $SiO_2$  is removed from a bottom of the depression by etching. The depression is lowered by etching to produce the contact hole as far as an interface with the silicon substrate, the silicon substrate being uncovered, and the layer including  $O_3$ /TEOS- $SiO_2$  serving as a lateral seal of the upper layer during the lowering of the depression.

2/pvt/A

## Description

Method for fabricating a semiconductor memory component

5 The invention relates to a method for fabricating a contact hole for a semiconductor memory component, in particular a DRAM or an FRAM, having a silicon substrate, an intermediate dielectric layer arranged on said substrate, an upper layer made of a ferroelectric material or made of a material having a high dielectric constant being arranged on said intermediate dielectric layer.

15 Depending on the chip design or the chip layout, it is necessary, in a large scale integrated DRAM or FRAM, when using materials having a high dielectric constant, for example BST (BST stands for Barium Strontium Titanate), and ferroelectric materials, for example SBT (SBT stands for Strontium Bismuth Tantalate), to etch through these materials during the plasma etching of the contact hole to the silicon substrate. In this case, contamination of the monocrystalline silicon substrate which is uncovered at the bottom of the contact hole must be avoided in order to prevent an adverse effect on the selection transistor of DRAM or FRAM.

For this purpose, it is known to carry out two lithography process steps or two lithography levels. In this case, in the first lithography process step, a window is produced in the ferroelectric layer by plasma etching using a resist mask. In the second lithography process step, the actual contact hole is thereupon etched down to the silicon substrate using a new, smaller resist mask. Although this conventional method leads to the aim of avoiding contamination of the bottom of the contact hole, it is nonetheless very complex on account of the use of two lithography process steps or lithography levels.

- 2 -

DE 43 40 419 C2 discloses a method for fabricating a semiconductor device having an insulating layer in which a contact hole is formed. In this known method, a photoresist perforated mask is formed on the insulating layer and anisotropic etching is carried out to form part of the contact hole whilst leaving a residual layer thickness of the insulating layer. Furthermore, the photoresist mask is removed and a TEOS layer is deposited on the resulting structure. The TEOS layer is then etched anisotropically in order to remove the TEOS layer at the bottom of the partial contact hole. Afterward, the contact hole is completed by means of an etching process, the contact hole having a configuration in which the opening diameter increases through the upward direction.

DE 195 28 746 C1 discloses a method for producing a silicon dioxide layer on surface sections of a structure with sidewall sections and a bottom section.

Accordingly, an object of the present invention consists in providing a method of the type mentioned in the introduction which leads to the aim with a simplified, i.e. a single, lithography process.

This object is achieved by the subject matter of claim 1. Advantageous developments of the invention are specified in the subclaims.

In other words, the method according to the invention is based on the use of an organic mask layer which is stable at high temperatures, preferably made of polyimide or photoimide and on the partial etching of the dielectric material layer (intermediate oxide) in combination with the etching-through of the overlying layer made of the material having a high dielectric constant or the ferroelectric material. A depression is thereby achieved in the dielectric layer, except for a

- 3 -

residual layer thickness which is less than or equal to the residual thickness of the mask layer after the etching step.

5 According to the invention, the depression is thereupon sealed laterally by conformal deposition of a layer made of  $O_3/TEOS-SiO_2$  (TEOS stands for tetraethyl orthosilicate). The process temperature required in this case is typically  $400^\circ C$  and is tolerated by the  
10 perforated mask layer which is stable at high temperatures, without degradation effects.

An oxide etching thereupon uncovers the bottom of the depression in a manner similar to that in the case of a  
15 spacer etching, said bottom thereupon being lowered down to the bottom of the contact hole by etching.

The organic layer furthermore serves as a perforated mask and is subsequently removed.

20

This is advantageously followed by selective renewed deposition of  $O_3/TEOS-SiO_2$  for the purpose of sealing exclusively the lateral wall of the contact hole and the surface of the wafer, with the bottom of the  
25 contact hole being spared. This is followed, in a manner known per se, by contact hole aftertreatment for removing silicon substrate material that is possibly damaged, and metallization of the contact hole.

30 The method according to the invention thus proceeds more simply than the conventional method with regard to the lithography process.

The invention is explained in more detail below by way of example using exemplary embodiments with reference  
35 to the drawings.

In the figures:

- 4 -

Figures 1A to 1D diagrammatically show the sequence of steps of a conventional method for fabricating a semiconductor memory component using materials having a high dielectric constant and ferroelectric materials, and

Figures 2A to 2G diagrammatically show the sequence of steps of a method according to the invention for fabricating a semiconductor memory component using materials having a high dielectric constant and ferroelectric materials.

In order to provide a better understanding of the invention, firstly an explanation will be given, with reference to Figures 1A to 1D, of a conventional method for fabricating a semiconductor memory component using materials having a high dielectric constant and ferroelectric materials. This conventional method requires the use of two lithography levels or lithography steps.

The first lithography level is shown in Figures 1A and 1B, and the second lithography level is shown in Figures 1C and 1D. In accordance with these figures, the semiconductor memory element is constructed from a silicon substrate 11, whose exact structure is not shown, and on which a dielectric layer 1 is arranged, the underside of which adjoins the top side of the silicon substrate 11. This boundary layer is designated generally by the reference numeral 2 in Figures 1A to 1D.

The top side of the dielectric layer 1 is adjoined by a continuous layer - in Figure 1A - having a high dielectric constant (or a ferroelectric layer), which is generally designated by the reference numeral 3. The layer 3 is composed, for example, of BST (BST stands

- 5 -

for Barium Strontium Titanate). A ferroelectric layer 3, by contrast, is composed, for example, of SBT (SBT stands for Strontium Bismuth Tantalate).

5 The top side of the layer 3 having a high dielectric constant is firstly covered completely by a resist layer 4. This resist layer 4 is converted, in a known manner, into a resist mask (perforated mask 4) having a multiplicity of openings 5. The opening 5 serves for  
10 etching a window 6 into the layer 3 having a high dielectric constant, as shown in Figure 1B, which already shows the result of the next method step resulting in the removal of the resist layer 4. This resist removal step is also known as resist stripping.

15 As shown in Figure 1C, a resist layer is again applied to the surface structure of Figure 1B, which resist layer is generally designated by the reference numeral 7 and is converted, in a known manner, into a resist  
20 mask having perforations at the locations at which a contact hole is intended to be introduced into the dielectric layer 1. This contact hole is produced by means of the second lithography level by etching the dielectric layer 1 with the aid of the resist mask as  
25 far as the boundary layer 2, as shown in Figure 1D, which already illustrates the result of the next step according to which the resist layer 7 is completely removed.

30 The etching steps explained above usually involve plasma etching.

The contact hole, which is generally designated by the reference numeral 8, has a typical structural size or a  
35 diameter  $d_1$  of  $0.6 \mu\text{m}$  and is thus approximately half as large as the window 6 having the diameter  $d_2$ . These dimensions are not obligatory, however, but rather are chosen only by way of example.



- 6 -

What is achieved by the method steps expressed in Figures 1A to 1D is that the bottom of the contact hole 8 (Figure 1D), i.e. that surface of the monocrystalline silicon substrate (boundary area 2) which is uncovered by this contact hole, is not contaminated. In the case of a direct etching (i.e. when using a single lithography mask) as far as the Si, the plasma would be contaminated, and thus so, too, would the monocrystalline silicon substrate. In order to prevent an adverse effect on the functioning of the semiconductor memory component, the silicon substrate must not be contaminated.

The method according to the invention for fabricating the semiconductor memory component under discussion will now be explained with reference to Figures 2A to 2G. The method according to the invention differs from the method explained above with reference to Figures 1A to 1D by the fact that one lithography level or one lithography step is obviated. Accordingly, the method according to the invention is based on a single lithography level.

Insofar as the structure shown in Figures 2A to 2G corresponds to that of Figures 1A to 1D, the same reference numerals are used.

Figure 2A corresponds to Figure 1A with the difference that, in the method step shown in Figure 2A, a mask made of conventional resist is not used, rather a mask - generally designated by 4' - made of an organic material, such as, for instance, polyimide or photoimide, is used, the mask material being stable relative to a layer made of  $O_3/TEOS-SiO_2$  which is deposited in the later method step in accordance with Figure 2C.

The method step shown in Figure 2A is followed by the method step shown in Figure 2B, which, using the

- 7 -

opening 5, implements the etching both of the layer 3 having a high dielectric constant and of a depression 8' into the dielectric layer 1, which can also be referred to as partial etching in the sense of the contact hole 8 of Figure 1D. In the etching step shown in Figure 2E, the mask layer 4' is additionally removed to an extent such that a mask layer thickness  $d_p$  remains, which is greater than the residual thickness  $d_0$  between the bottom of the depression 8' and the interface 2 with the silicon substrate. For the subsequent process steps, it is essential that the perforated mask residual thickness  $d_p$  be greater than or equal to the dielectric residual thickness  $d_0$ :  $d_p \geq d_0$ . However, this last is not absolutely necessary, but rather only by way of example. What is essential is that the selectivity of the subsequent etching step allows  $d_0$  to be etched with a mask of thickness  $d_p$ .

In the next process step, shown in Figure 2C, a layer made of  $O_3/TEOS-SiO_2$  is deposited onto the structure of Figure 2B in a highly conformal manner, which layer also lines the depression 8'. This layer is designated by the reference numeral 9. The purpose of the layer 9 is to laterally seal the layer 3 having a high dielectric constant in the region 6' and the dielectric layer 1 in the region of the depression walls. The process temperature during the deposition of the layer 9 is typically 400°C and is tolerated by the layer 4' which is stable at high temperatures, without degradation effects.

As illustrated in Figure 2F, the next process step that follows is renewed etching similar to that in the case of a spacer etching for the purpose of uncovering the top side of the perforated mask layer 4' and also the bottom of the depression 8'. The upper edge of the hole in the layer 4' is also shortened during this etching process. As shown in Figure 2E, this etching process is continued until the bottom of the depression 8' has

- 8 -

reached the interface 2 with the silicon substrate. Afterward, as shown in Figure 2F, the layer 4' is removed (stripping).

- 3 Afterward,  $O_3$ /TEOS- $SiO_2$  is deposited selectively again, as is shown in Figure 2G and designated by the reference numeral 10. This selective  $O_3$ /TEOS- $SiO_2$  deposition is explained in detail in German Patent No. 19 528 746, according to which exclusively the top  
10 side of the layer 3 having a high dielectric constant and the side wall of the contact hole 8 are coated, whereas no deposition whatsoever is effected at the bottom of the contact hole 8. This is followed by a process step (not illustrated) according to which the  
15 contact hole 8 is subjected to an aftertreatment in order to remove possibly damaged material of the silicon substrate at the bottom of the contact hole and to metallize the contact hole.
- 20 The method according to the invention as shown in Figures 2A to 2G accordingly allows, in a single lithography level, the introduction of a contact hole without contamination of the monocrystalline silicon substrate at the bottom of the contact hole.

## Patent Claims

1. A method for fabricating a contact hole for a semiconductor memory component, in particular a DRAM or an FRAM, having a silicon substrate, an intermediate dielectric layer (1) arranged on said substrate, an upper layer (3) made of a ferroelectric material or made of a material having a high dielectric constant being arranged on said intermediate dielectric layer, having the steps of:

Forming a perforated mask on the upper layer (3), a material which exhibits temperature stability during a later deposition process being used for the perforated mask;

Etching the upper layer (3) and a depression (8') into the intermediate dielectric layer (1) as far as a residual thickness ( $d_0$ ) using the perforated mask;

Depositing a layer made of  $O_3$ /TEOS- $SiO_2$  onto the structure thus obtained including the perforated mask in the later deposition process;

Removing the layer made of  $O_3$ /TEOS- $SiO_2$  from the bottom of the depression (8') by etching; and

Thereupon lowering the depression (8') by etching in order to produce the contact hole as far as the interface with the silicon substrate, the latter being uncovered, the layer made of  $O_3$ /TEOS- $SiO_2$  serving as a lateral seal of the upper layer (3) during the etching process.

2. The method as claimed in claim 1, wherein polyimide is used as the material for the perforated mask.

3. The method as claimed in claim 1, wherein photoimide is used as the material for the perforated mask.

- 10 -

4. The method as claimed in claim 1, 2 or 3, wherein, after the uncovering of the silicon substrate in the region of the bottom of the contact hole, the latter being spared, a layer made of  $O_3/TEOS-SiO_2$  is again deposited onto this structure.

5. The method as claimed in claim 4, wherein the perforated mask material is stripped prior to renewed deposition of  $O_3/TEOS-SiO_2$ .

10

6. The method as claimed in one of the preceding claims, wherein a layer made of a ferroelectric material, in particular SBT or PZT, or made of a material having a high dielectric constant, in particular BST, is used as the upper layer (3).

15

## Abstract

The invention provides a method for fabricating a semiconductor memory component, in particular a DRAM or FRAM, having a silicon substrate, an intermediate oxide layer (1) arranged on said substrate, an upper layer (3, made of a ferroelectric material or made of a material having a high dielectric constant being arranged on said intermediate oxide layer, a contact hole (8) extending as far as the interface between the silicon substrate and the upper layer being introduced by means of etching proceeding from an opening (5) in a perforated mask, which was formed in a preceding step. For the perforated mask, use is made of a material which is stable at high temperatures, to be precise stable at high temperatures so that  $O_3/TEOS-SiO_2$  can subsequently be deposited onto this layer (e.g. polyimide) without degradation of this layer. The etching is performed using the perforated mask into the intermediate oxide layer (1) to form a depression (8'). A layer made of  $O_3/TEOS-SiO_2$  is deposited onto the structure thus obtained. The layer made of  $O_3/TEOS-SiO_2$  is removed from the bottom of the depression (8') by etching, and the depression (8') is thereupon lowered by etching in order to produce the contact hole as far as the interface with the silicon substrate, the latter being uncovered.

(Figure 2G)

1/2

FIG 1D

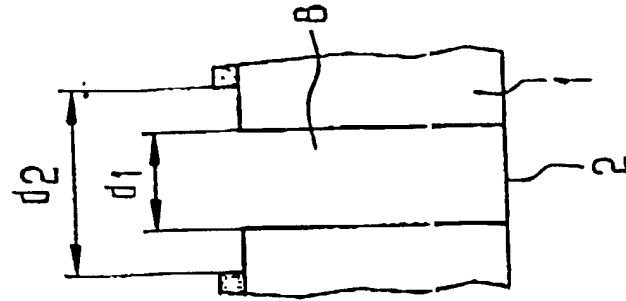


FIG 1C

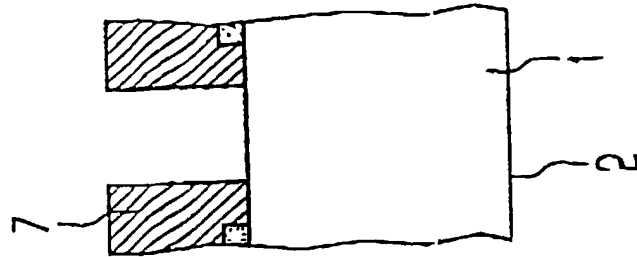


FIG 1B

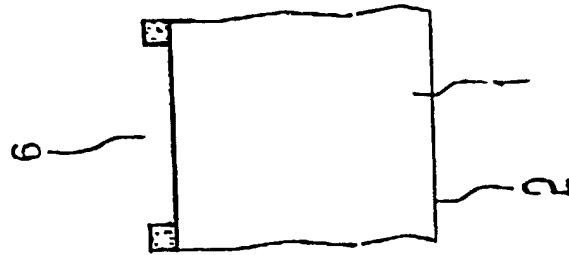


FIG 1A

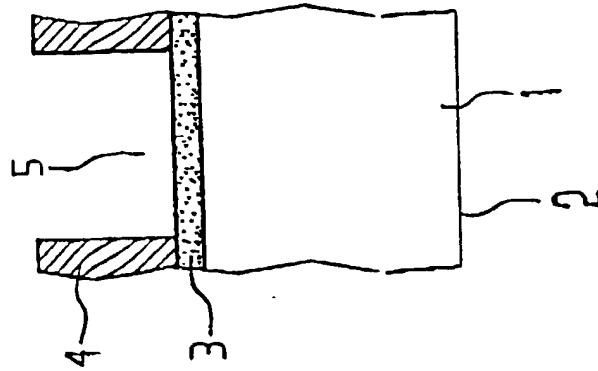


FIG 2D

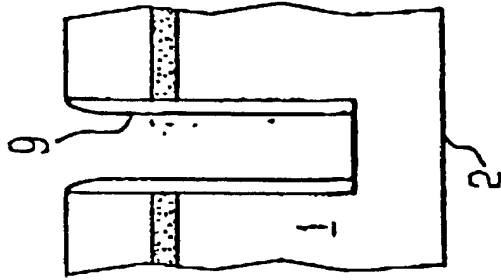


FIG 2C

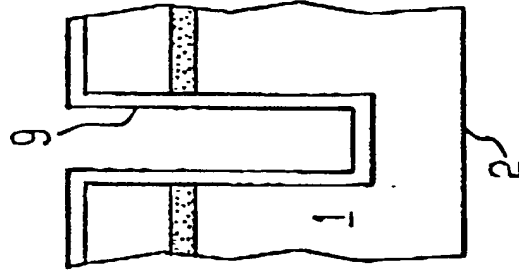


FIG 2B

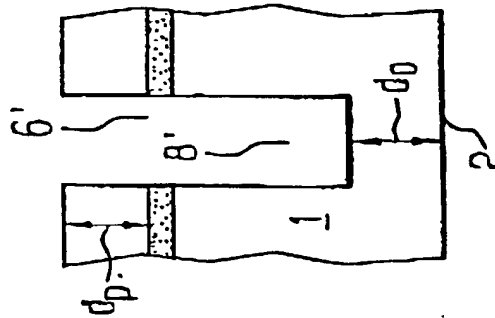


FIG 2A

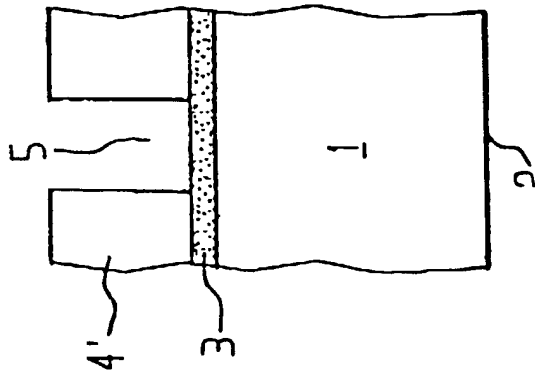


FIG 2G

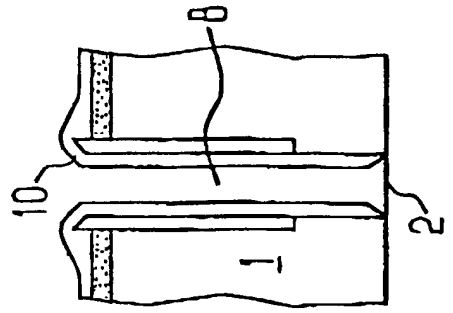


FIG 2F

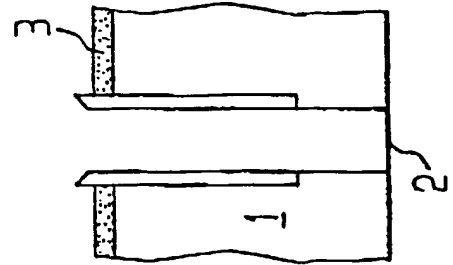
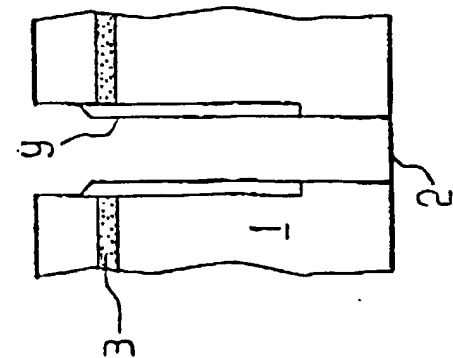


FIG 2E







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Attorney's Docket No.: 12816-042001  
Client's Ref. No.: S 1556 SB/pa

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD FOR PRODUCING A SEMICONDUCTOR MEMORY ELEMENT, the specification of which:

☐ is attached hereto.

☒ was filed on January 25, 2002 as Application Serial No. 10/048,192 and was amended on \_\_\_\_\_.

☒ was described and claimed in PCT International Application No. PCT/DE00/02555 filed on 07/27/2000 and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

| Country | Application No. | Filing Date   | Priority Claimed  |
|---------|-----------------|---------------|---|
| Germany | 199.35.130.9    | July 27, 1999 | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |

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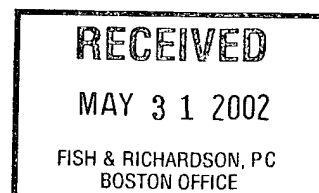
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Attorney's Docket No.: 12816-042001  
Client's Ref. No.: S 1556 SB/pra

**Combined Declaration and Power of Attorney**

Page 2 of 2 Pages

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DEX

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